

STATE AGENCY AUDIT

– RESULTS

Audit II: Part 1 – Oral Request

Part 2 – Written Request

	GRADES (points)		Guidelines		Form 700	Refrained from	Salary	Agreement
	<u>Audit I</u>	<u>Audit II</u>	Posted	Provided	Provided	Seeking Info	Provided	Provided
1. Air Resources Board 1001 "I" Street, Sacramento, CA 95814	F+ (40)	F- (10)	NO -10	NO -20	NO -20	YES	NO -20	NO -20
2. Alcohol and Drug Programs, Dept. of 1700 "K" Street, Sacramento, CA 95814	F+ (40)	C (65)	NO -10	YES	YES	NO -5	YES -10	YES -10
3. Coastal Commission, California 45 Fremont St, Ste 2000, S. F., CA 94105-2219	A- (90)	B- (75)	YES	YES	YES -20	NO -5	YES	YES
4. Consumer Affairs, Department of 1625 N. Market Bl., N112, Sacramento, CA 95834	F- (0)	B (80)	YES	YES	YES	NO -10	YES	YES -10
5. Corporations, Department of 1515 "K" Street, Suite 200, Sacramento, CA 95814	F- (20)	B+ (85)	YES	YES	YES -5	NO -10	YES	YES
6. Corrections, Department of 1515 "S" Street, Sacramento, CA 95814	F- (20)	C (65)	NO -10	YES -10	YES -10	NO -5	YES	YES
7. Developmental Services, Dept. of 1600 9th St, Rm 240, Sacramento, CA 95814	D- (45)	D- (45)	YES	NO -20	YES -5	NO -10	NO -20	YES
8. Employment Development Dept. (EDD) 800 Capitol Mall, Rm 5000, Sacramento, CA 95814	F- (0)	F- (30)	YES	YES	NO -20	NO -10	NO -20	NO -20
9. Environmental Health Hazard Assessment, Off. of 1001 "I" Street, 25th Floor, Sacramento, CA 95814	C+ (70)	A+ (100)	YES	YES	YES	YES	YES	YES
10. Equalization, State Board of 450 "N" Street, Sacramento, CA 95814	D (50)	D+ (55)	YES	YES	YES	NO -5	NO -20	NO -20
11. General Services, Department of 707 Third Street, West Sacramento, CA 95605	D- (45)	B (80)	YES	YES	YES -5	NO -5	YES -10	YES
12. Health Planning & Development, Off. of Statewide 1600 9th Street, Rm 433, Sacramento, CA 95814	C (65)	A+ (100)	YES	YES	YES	YES	YES	YES
13. Health Services, Dept. of 1501 Capitol Avenue, Sacramento, CA 95814	F- (5)	F- (5)	NO -10	NO -20	NO -20	NO -5	NO -20	NO -20
14. Industrial Relations, Dept. of 455 Golden Gate Ave., 10th Fl., S. F., CA 94102	F- (20)	A+ (100)	YES	YES	YES	YES	YES	YES
15. Insurance, Department of 300 Capitol Mall, Ste 1700, Sacramento, CA 95814	D (50)	C+ (70)	NO -10	YES	YES	YES	NO -20	YES
16. Justice, Department of 1300 "I" Street, Ste 1730, Sacramento, CA 95814	F- (5)	A+ (100)	YES	YES	YES	YES	YES	YES
17. Managed Health Care, Dept. of 980 9th Street, Ste 500, Sacramento, CA 95814-2725	D+ (55)	A+ (100)	YES	YES	YES	YES	YES	YES
18. Mental Health, Department of 1600 9th Street, Room 151, Sacramento, CA 95814	F- (0)	A+ (100)	YES	YES	YES	YES	YES	YES
19. Motor Vehicles, Department of 2415 1st Avenue, Sacramento, CA 95818	F- (5)	B (80)	YES	YES	YES	YES	YES -10	YES -10
20. Parks and Recreation, Dept. of 1416 9th Street, Sacramento, CA 95814	F- (20)	A- (90)	YES	YES	YES -10	YES	YES	YES
21. Public Employees Retirement Sys.(CalPERS) 400 "P" Street, Sacramento, CA 95814	F- (5)	D+ (55)	YES	YES	YES -5	NO -5	YES -10	NO -20
22. Public Utilities Commission, California 505 Van Ness Avenue, San Francisco, CA 94102	F- (10)	F- (10)	NO -10	NO -20	NO -20	YES	NO -20	NO -20

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23. Real Estate, Department of 2201 Broadway, Sacramento, CA 95818	C+ (70)	B+ (85)	YES	YES	YES	NO	YES	YES
24. Secretary of State, Office of 1500 11th Street, 6th Fl, Sacramento, CA 95814	C+ (70)	F (35)	NO	YES	YES	NO	YES	YES
25. Social Services, California Dept. of 744 "P" Street, Sacramento, CA 95814	F- (10)	B- (75)	YES	YES	YES	NO	YES	YES
26. State Teachers' Retirement System (Cal STRS) 7667 Folsom Bl., 3rd Floor, Sacramento, CA 95826	A (95)	A+ (100)	YES	YES	YES	YES	YES	YES
27. Toxic Substances Control, Dept. of 1001 "I" Street, Sacramento, CA 95814	A- (90)	A- (90)	YES	YES	YES	YES	YES	YES -10
28. Transportation (CALTRANS), Dept. of 1120 "N" Street, Sacramento, CA 95814	F- (5)	C- (60)	YES	NO	YES	NO	YES	YES
29. Veterans Affairs, Department of 1227 "O" Street, Sacramento, CA 95814	F- (30)	B+ (85)	YES	YES	YES	NO	YES	YES
30. Water Resources, Department of 1416 9th Street, Sacramento, CA 95814	F- (20)	C- (60)	YES	YES	YES	YES	NO	NO -20
31. Water Resources Control Board, State 1001 "I" Street, Sacramento, CA 95814	B- (75)	A- (90)	YES	YES	YES	NO	YES	YES

SUMMARY:

Part 1 – Oral Request

	<u>Audit I</u>	<u>Audit II</u>
• Agencies Posting Guidelines for Records Access:	3 (10%)	24 (77%)
• Agencies Providing Guidelines - within ½ hour:	7 (22%)	19 (61%)
within 1 day:	11 (35%)	26 (84%)
• Agencies Providing Form 700 - within ½ hour:	5 (16%)	15 (48%)
within 1 day:	10 (32%)	25 (81%)
• Agencies <u>not</u> requesting some information from Auditor before providing Form 700 for viewing:	9 (29%)	14 (45%)

Part 2 – Written Request

• Agencies providing Salary Record		
within 5 days:	2 (7%)	19 (61%)
within 10 days:	9 (29%)	23 (74%)
• Agencies providing Settlement Agreement		
within 5 days:	2 (7%)	19 (61%)
within 10 days:	9 (29%)	24 (77%)

• • • • • Average Agency Grade/Score: F (37%) C+ (70%)

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Expectations of this Records Audit:

- 1) Guidelines for Records Access ----- **posted for public in agency's main office**
- 2) FPPC Form 700 provided for viewing &
Guidelines for Records Access provided free - **within ½ hour**
(without requesting any additional
information from Auditor)
- 3) Salary Document & Settlement provided --- **within 5 days**

Grading Scale

Point Deductions

(100 points possible)

100 = A+	● Guidelines Not Posted in Agency Office	= -10 points
95 = A	● Each free Copy of Guidelines & Form 700 to view	
90 = A-	provided within: ½ hour of request	= -0
85 = B+	½ hour to 1 hour of request	= -5
80 = B	1 hour to 1 day of request	= -10
75 = B-	1 day – 2 days of request	= -15
70 = C+	> 2 days or not at all	= -20
65 = C	● Information Requested of Auditor - -	
60 = C-	Assessed at -5 for each request for:	
55 = D+	Identity, Affiliation, or	
50 = D	Why Records Being Sought	= -10 (maximum)
45 = D-	● Each Salary Document or Settlement Agreement	
40 = F+	Provided - within 5 days	= -0
35 = F	within 6 - 10 days	= -10
0-30 = F-	> 10 days or not at all	= -20